

ASSET MAINTENANCE AND PLEDGING GUIDELINES FOR ILLINOIS FOREIGN BANKING OFFICES

SUPERVISORY ACTIONS	SOSA	COMBINED RATING FOR U.S. OPERATIONS	ROCA RATING FOR ILLINOIS BRANCHES	AMR ¹	PLEDGE
Normal Supervision	1	1 or 2	1 or 2	0	0
Supervisory action directed against overall deficiencies in U.S. operations	1	3	1 or 2	0	0
	1	4, or 5	1 or 2	100%	yes
Supervisory action directed against particular branch	1	1 or 2	3	0	0
	1	1 or 2	4, or 5	100%	yes
Supervisory action directed against overall U.S. operations	1	3	3	0	0
	1	4, or 5	4, or 5	108%	yes
Close monitoring of U.S. operations	2	1 or 2	1 or 2	0	0
	3	1 or 2	1 or 2	108%	yes
Close monitoring of U.S. operations	2	3	1 or 2	100%	yes
	2	4, or 5	1 or 2	108%	yes
	3	3, 4, or 5	1 or 2	108%	yes
Close monitoring of U.S. operations	2	1 or 2	3	100%	0
	2	1 or 2	4, or 5	108%	yes
	3	1 or 2	3, 4, or 5	108%	yes
Close monitoring of U.S. operations	2	3, 4, or 5	3, 4, or 5	108%	yes
	3	3, 4, or 5	3, 4, or 5	108%	yes

¹ AMR-Asset Maintenance Requirement