## Illinois Department of Financial and Professional Regulation Division of Professional Regulation

## Illinois Public Accountant Registration and Licensure Committee Business Meeting

February 13, 2018

Time: 11:08 a.m. Adjourn 1:07 p.m. (Cavanaugh/Waggoner) Location: IDFPR Chicago Office, 100 W Randolph St, Suite 9-171A

Committee Members Present: Dawn Carlson, CPA, Chairperson

Jennifer Cavanaugh, CPA, Member Hilda Renteria, CPA, Member Joan Waggoner, CPA, Member Richard Franklin, CPA, Member

Committee Members Absent: Neil Gerber, CPA, Vice-Chairperson

Staff Present: Chau Nguyen, Legal Counsel

Jim Koehl, Board Liaison

Mary Meehan, Chief, Business Prosecutions

Guests: Marty Green, CPA Society (conference call)

Todd Shapiro, CPA Society

Topics: Discussion: Action: Minutes Minutes for November 15, 2017 Franklin/Waggoner moved to approve the November 15, 2017 minutes. Motion carried. New Business **Enforcement Report** Mr Koehl provided a copy of the report to the members to review. Ms Meehan provided an overview of the report. NASBA Annual Meeting The NASBA meeting schedule was discussed. ED/Legal 3/13-16/18 E Regional 6/5-7/18 W Regional 6/27-29/18 Annual 10/28-31/18

AICPA Peer Review Meeting

There was an overview of this meeting with input

by the Committee.

There was interest in looking into obtaining the SEC orders so they can be evaluated more quickly.

Great Lakes Conference Call

This meeting was held on February 7, 2018. Topics discussed included NASBA Communications, the CPE Audit Tool to roll out Spring 2019.

CPA Renewal

Mr Green noted that the Society reached out to their membership and firms and is ready to send an all-member bulletin in advance of the renewal.

New Staff

Mary Meehan started with the Division as Chief of Business Prosecutions.

**CPA Society** 

Mr Green noted that the General Assembly is in session with the budget address on February 14<sup>th</sup>.

There are no CPA bills at this time.

Need to look at how the professional service corporation will renew with only a registered CPA.

Mr Green stated there will be an in-house workshop in May for the renewal at the Society's Chicago office.

Closed Session	Deliberation	Renteria/Cavanaugh moved to go into closed session pursuant to Sections 2(c)(4) and (15) of the Open Meetings Act. Motion carried.
		Subsequent to the motion a roll call was held and the following members voted unanimously to close the session.
		Waggoner-yes Carlson-yes Franklin-yes Renteria-yes Cavanaugh-yes
		Those voting yes constituted a majority of the quorum of the members of the Board. The session closed at 12:32 p.m.
		Cavanaugh/Carlson moved to come out of closed session at 1:00 p.m. Motion carried.
Open Session	<u>Deliberation in Closed Session</u>	
	August Filisha 2016-009046	Waggoner/Cavanaugh moved that 065-009756 be placed on indefinite suspension with a \$1000 fine. Cease and Desist the unlicensed activity of Filicia & Co.
		Motion carried.

Board Liaison Time

	listing was verified by the members.
Travel Vouchers	Travel vouchers were distributed to the members.
Sign Findings	The Committee signed the Findings.

Addresses

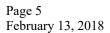
Next meeting date

Adjournment Cavanaugh/Waggoner

moved to adjourn the meeting at 1:07 pm. Motion carried.

The next meeting is scheduled for June 26, 2018 in Springfield.

The Committee address



**Exceptions and Comments** 

Deliberations-Let it be noted for the record that the Director's decision regarding disciplinary matters is withheld from the minutes and rendered at the time the Board presents their Findings of Fact, Conclusions of Law and Recommendations.