

**ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR)
DIVISION OF REAL ESTATE (DRE)**

AUCTION ADVISORY BOARD

OPEN MINUTES

Date: June 22, 2021

Call to Order: 11:00 a.m. – Thomas Walsh - Chairperson

Location: Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE)
Remotely via interactive webinar and/or telephonically in accordance with provisions of Governor JB Pritzker’s Executive Order 2020-07 issued on March 16, 2020.

Board Members Present Jessica Doerr-Berger, Kelly T. Frank, Gwendolene Newton, Carol Spracklen, Brian A. Swartz, Thomas Walsh

Staff Members Present Laurie Murphy-Acting Director of the Division of Real Estate, Adrienne Levatino – Associate General Counsel, Jeremy Reed - Chief of Licensing and Education, Ericka Johnson – Deputy Director of the Division of Real Estate, Jenni Scheck – Acting Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION
<p>Call to Order</p>	<p>Chairperson Thomas Walsh called the meeting to order and he read a mandatory statement regarding the Open Meetings Act. <i>This meeting is being conducted by audio or video conference without the physical presence or a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration.</i></p> <p>Thomas Walsh explained the Board must take a roll call vote for each motion made during the meeting.</p> <p style="text-align: center;">Attendance Taken: Jessica Doerr-Berger – present Kelly T. Frank – present Gwendolene Newton – present Carol Spracklen – present Brian Swartz – present Thomas Walsh - present</p> <p>Laurie Murphy, the Acting Director of the Real Estate Division, thanked the Board members for their service to the Board. Ms. Murphy was excited and honored to announce Ericka Johnson, former Chief of Prosecutions, has been promoted to the Deputy Director of the Division of Real Estate as of June 1, 2021. Ms. Murphy explained Jenni Scheck has stepped in as the interim Chief of Prosecutions. Ms. Murphy thanked Jenni Scheck for taking on this role.</p> <p>Laurie Murphy also mentioned we should prepare for Board meetings in person soon and she will keep the Board posted as soon as she has any information regarding meeting in person.</p>	<p>The meeting was called to order at 11:00 a.m.</p>

	<p>Ericka Johnson thanked the Director for her trust, and she said it is such a gift to work for someone as talented, kind, and patient who believes in your abilities. Ms. Johnson will miss working in the role of the Chief of Prosecutions and working with the Board members, but she is exciting for her new role as the Deputy Director of the Division of Real Estate. Ms. Johnson thanked the Board for all their time and effort they give to the many duties of the Board.</p>	
<p>Review and Approval of Open Minutes:</p>	<p>The Board reviewed the Open Minutes from the April 27, 2021 Auction Advisory Board meeting.</p> <p style="text-align: center;">Roll Call Vote Taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen – yes Brian Swartz – abstained Thomas Walsh - abstained</p>	<p>A motion was made by Frank and seconded by Spracklen to approve the Open Minutes as presented from the April 27, 2021 Auction Advisory Board meeting. The motion carried by roll call vote.</p>
<p>Public Comments</p>	<p>There were no public comments.</p>	
<p>Licensing and Education Report</p>	<p>The 2021 Licensing Report for activity conducted in May was presented and discussed. A copy of the report is attached to and made part of these minutes. Jeremy Reed discussed the Auction License Report.</p> <p>Jeremy Reed explained his staff continues working remotely from their homes due to the COVID – 19 Pandemic. Mr. Reed informed the Board that his staff has been productive working from home. Mr. Reed said we continue to work with PSI to improve results.</p>	

Investigations Report	<p>The 2021 Auction Investigations Report through the month of May was presented and distributed. A copy of the report is attached to and made a part of these minutes.</p> <p>Mr. Rodriguez explained his staff continues to work remotely.</p> <p>Mr. Rodriguez explained there are 34 Auction cases pending in Investigations and we have received one new Auction case in May.</p>	
Prosecutions Report	<p>The 2021 Auction Prosecutions Report through the month of May was presented and distributed. A copy of the report is attached to and made part of these minutes.</p> <p>Jenni Scheck, Acting Chief of Prosecutions, explained there are 4 cases pending in Prosecutions at time. Prosecutions closed two cases in May and there were no new cases in May.</p>	
Formal Hearing Schedule	<p>There were no formal hearings scheduled.</p>	
Old Business	<p>There was no old business to discuss.</p>	
New Business:	<p>There was no new business to discuss.</p>	
Motion to go into Closed Session	<p>Roll Call Vote taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen – yes Brian Swartz – yes Thomas Walsh - yes</p>	<p>A motion was made by Frank and seconded by Swartz to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:19 a.m. The motion carried by roll call vote.</p>

Closed Session	The Board reviewed the April 27, 2021 Closed Minutes	
Motion to go into Open Session Approval of April 27, 2021 Closed Minutes Recommendations The Board signed No Findings of Facts, Conclusions of Law and Recommendations to the Director Orders	<p>Roll Call Vote taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen – yes Brian Swartz – yes Thomas Walsh - yes</p> <p>Roll Call Vote taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen – yes Brian Swartz - abstained Thomas Walsh - abstained</p> <p>There were no cases for the Board to deliberate during Closed Session.</p> <p>There were no orders for the Board to discuss during Closed Session.</p>	<p>A motion was made by Frank and seconded by Doerr-Berger to go into Open Session at 11:21 a.m. The motion carried by roll call vote.</p> <p>A motion was made by Frank and seconded by Newton to approve the Auction Advisory Board Closed Minutes from the April 27, 2021 minutes. The motion carried by roll call vote.</p>
	The next meeting is scheduled for August 24, 2021.	The next Auction Advisory Board meeting is scheduled for August 24, 2021.
Adjournment	<p>Roll Call Vote taken: Jessica Doerr-Berger – yes Kelly T. Frank – yes Gwendolene Newton – yes Carol Spracklen – yes</p>	There being no further business to discuss, a motion was made by Frank and seconded by Swartz to adjourn at 11:23 a.m. The

	Brian Swartz - yes Thomas Walsh - yes	motion carried by roll call vote.
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Licensing Report

YTD - thru June 14, 2021

Prepared by Jeremy N Reed

Filtered By

- Board = AUCTIONEER
- START DATE = 01/01/2021
- END DATE = 06/14/2021

6/14/2021 at 12:06:31 PM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	1	0	0	0	41	283
441	Licensed Auctioneer	7	24	23	0	102	657
444	Licensed Auction Firm	2	10	10	0	18	163
445	Licensed Auction CE School	0	0	0	0	0	5
446	Licensed Auction CE Course	0	0	1	0	0	45
Totals		10	34	34	0	161	1,153

Illinois Auctioneer Examination

	ALL EXAMINEES		REPEATERS		FIRST TIMERS	
TOTAL NUMBER TESTED	26		8		18	
TOTAL NUMBER PASSED	21	80.77 %	8	100.00 %	13	72.22 %
TOTAL NUMBER FAILED	5	19.23 %	0	0.00 %	5	27.78 %

AUCTION INVESTIGATIONS REPORT

MAY 2021

	Pending Auction Cases	CASES OVER 5 MONTHS OLD	New Auction Cases Received	Referred to Prosecutions	Recommended for Closure	Closed at Complaint
JANAURY	26	22	2	2	0	0
FEBRUARY	31	21	6	1	0	0
MARCH	29	19	1	0	3	0
APRIL	33	20	6	2	0	0
MAY	34	20	1	0	0	0
JUNE						
JULY						
AUGUST						
SEPTEMBER						
OCTOBER						
NOVEMBER						
DECEMBER						
TOTAL			16	5	3	0

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**AUCTION INVESTIGATIONS REPORT
MAY 2021**



AUCTION PROSECUTIONS REPORT 2021

May

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen	3 months or less	cases over 3 months	cases over 6 months	cases over 9 months	cases over 12 months	cases over 24 months	CLOSED	CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo	CLOSED Formal Order	CLOSED: Consent Order	CLOSED : Non- Disc Order	IL Inc Tax	Child Support	Motion for Rehearing filed	
JANUARY	6	0	2	2	3	1	0	0	0	1	0	0	0	0	0	0	0	0	0	1
FEBRUARY	7	0	1	3	3	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCH	4	1	0							3	0	1	0	0	2	0	0	0	0	0
APRIL	6	0	2	2	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MAY	4	2	0	2	2	0	0	0	0	2	0	1	0	0	0	1	0	0	0	0
JUNE										0										
JULY										0										
AUGUST										0										
SEPTEMBER										0										
OCTOBER										0										
NOVEMBER										0										
DECEMBER										0										
TOTAL		3	5							6	0	2	0	0	2	1	0	0	0	1