

**ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR)  
DIVISION OF REAL ESTATE (DRE)**

**AUCTION ADVISORY BOARD**

**OPEN MINUTES**

Date: August 23, 2022

Call to Order: 11:02 a.m. – Thomas Walsh - Chairperson

Illinois Department of Financial and  
Professional Regulation (IDFPR)/Division of Real Estate (DRE)

Location: This meeting was held remotely via interactive webinar and/or telephonically because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration, and because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster.

Board Members Present: Jessica Doerr-Berger, Michael Fine, Gwendolene Newton, Carol Spracklen, Brian A. Swartz, and Thomas Walsh

Staff Members Not Present: Kelly Frank

Staff Members Present: Adrienne Levatino – Associate General Counsel, Jeremy Reed - Chief of Licensing and Education, Geetu Naik – Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

Guest Present: Jim Sharp

<b>TOPIC</b>	<b>DISCUSSION</b>	<b>ACTION</b>
<b>Call to Order</b>	<p>Chairperson Thomas Walsh called the meeting to order and he read a mandatory statement regarding the Open Meetings Act. <i>This meeting is being conducted by audio or video conference without the physical presence of a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration. This meeting is further being conducted by audio or videoconference because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. All votes will be conducted by roll call, so each member's vote on each issue can be identified and recorded.</i></p> <p style="text-align: center;">Attendance Taken:  Jessica Doerr-Berger – present  Michael Fine – (present at 11:10 am)  Gwendolene Newton – yes  Carol Spracklen - yes  Brian Swartz – yes  Thomas Walsh – yes</p>	<p>The meeting was called to order at 11:02 a.m.</p>
<b>Review and Approval of Open Minutes:</b>	<p>The Board reviewed the Open Minutes from the June 28, 2022, Auction Advisory Board meeting.</p> <p style="text-align: center;">Roll Call Vote Taken:  Jessica Doerr-Berger - yes  Michael Fine - yes  Gwendolene Newton – yes  Carol Spracklen - abstained  Brian Swartz - yes  Thomas Walsh - yes</p>	<p>A motion was made by Swartz and seconded by Doerr-Berger to approve the Open Minutes as presented from the June 28, 2022, Auction Advisory Board meeting. The motion carried by roll call vote.</p>

<b>Public Comments</b>	Jim Sharp, member of the public, introduced himself to the Board. The Board welcomed Mr. Sharp to the meeting.	
<b>Licensing and Education Report</b>	<p>The 2022 Licensing Report for activity conducted in June was presented and discussed. A copy of the report is attached to and made part of these minutes. Jeremy Reed discussed the Auction License Report.</p> <p>Jeremy Reed thanked Jim Sharp for attending the meeting.</p> <p>Mr. Reed explained he and the Director of Real Estate, Laurie Murphy, attended the Illinois State Fair where they enjoyed meeting and speaking with the auctioneers.</p> <p>Susan Sigourney thanked Jeremy Reed for the nice comments he had relayed from auctioneers he spoke to at the Fair.</p>	
<b>Investigations Report</b>	<p>The 2022 Auction Investigations Report through the month of July was presented and distributed. A copy of the report is attached to and made a part of these minutes.</p> <p>Hector Rodriguez, the Chief of Investigations, explained there are two Investigator positions open at this time.</p>	
<b>Prosecutions Report</b>	The 2022 Auction Prosecutions Report through the month of July was presented and distributed. A copy of the report is attached to and made part of these minutes. Geetu Naik, the Chief of Prosecutions, informed the Board that one formal complaint has been filed.	
<b>Formal Hearing Schedule</b>	There were no formal hearings scheduled.	
<b>Old Business</b>	There was no old business to discuss.	

<b>New Business:</b>	There was no new business to discuss.	
<b>Motion to go into Closed Session</b>	Roll Call Vote taken: Jessica Doerr-Berger - yes Michael Fine - yes Gwendolene Newton – yes Carol Spracklen - yes Brian Swartz - yes Thomas Walsh - yes	A motion was made by Spracklen seconded by Fine to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:12 a.m. The motion carried by roll call vote.
<b>Closed Session</b>	The Board reviewed the June 28, 2022, Closed Minutes.  The Board deliberated on pending enforcement actions.	
<b>Motion to go into Open Session</b>	Roll Call Vote taken: Jessica Doerr-Berger - yes Michael Fine - yes Gwendolene Newton – yes Carol Spracklen - yes Brian Swartz - yes Thomas Walsh - yes	A motion was made by Swartz and seconded by Spracklen to go into Open Session at 11:22 a.m. The motion carried by roll call vote.
<b>Approval of June 28, 2022, Closed Minutes</b>	Roll Call Vote taken: Jessica Doerr-Berger - yes Michael Fine - yes Carol Spracklen - yes Brian Swartz - yes Thomas Walsh - yes  Roll Call Vote taken: Jessica Doerr-Berger - yes Michael Fine - yes Carol Spracklen - yes Brian Swartz - yes Thomas Walsh - yes	A motion was made by Swartz and seconded by Fine to approve the Auction Advisory Board Closed Minutes from the June 28, 2022, minutes. The motion carried by roll call vote.  A motion was made by Doerr-Berger and seconded by Spracklen to ratify the Board’s actions taken in Closed Session which includes Thomas Walsh authorizing his electronic signature on the Consent Order presented in Closed Session.

<p><b>Recommendations</b></p> <p><b>The Board signed no Findings of Fact, Conclusions of Law and Recommendations to the Director</b></p> <p><b>Orders</b></p>	<p>There were no cases for the Board to deliberate during Closed Session.</p> <p>One Consent Order was reviewed and discussed in Closed Session.</p>	<p>The Board signed one Consent Order.</p>
<p><b>Adjournment</b></p>	<p>Roll Call Vote taken:  Jessica Doerr-Berger - yes  Michael Fine - yes  Carol Spracklen - abstained  Brian Swartz - yes  Thomas Walsh - yes</p> <p>The next meeting is scheduled for October 25, 2022.</p>	<p>There being no further business to discuss, a motion was made by Fine and seconded by Swartz to adjourn at 11:27 a.m. The motion carried by roll call vote.</p>

# Licensing Report

YTD - thru August 15th, 2022

Prepared by Jeremy N Reed

**Filtered By**

- Board = AUCTIONEER
- START DATE = 01/01/2022
- END DATE = 08/15/2022

8/18/2022 at 2:02:15 PM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	0	1	1	0	0	286
441	Licensed Auctioneer	3	36	35	0	8	756
444	Licensed Auction Firm	1	14	14	0	1	187
445	Licensed Auction CE School	0	0	0	0	1	5
446	Licensed Auction CE Course	0	6	7	0	10	48
<b>Totals</b>		4	57	57	0	20	1,282

From: 7/1/2022 To: 7/31/2022

		Pass		Fail		Total
		N	%	N	%	N
IL Auctioneer Examination	First Time	1	100.00	0	0.00	1
	Repeat	0	0.00	0	0.00	0
	<b>Total</b>	2	22.22	7	77.78	9





## AUCTION PROSECUTIONS REPORT 2022

July

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen	3 months or less	cases over 3 months	cases over 6 months	cases over 9 months	cases over 12 months	cases over 24 months	CLOSED	CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo	CLOSED Formal Order	CLOSED: Consent Order	CLOSED: Non-Disc Order	IL Inc Tax	Child Support	Motion for Rehearing filed
JANUARY	1	0	0	0	1	0	0	0	0	2	1	0	0	0	1	0	0	0	0
FEBRUARY	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCH	2	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
APRIL	2	0	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
MAY	3	1	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0
JUNE	4	0	1	2	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
JULY	6	1	2	3	2	0	1	0	0	0	0	0	0	0	0	0	0	0	0
AUGUST										0									
SEPTEMBER										0									
OCTOBER										0									
NOVEMBER										0									
DECEMBER										0									
TOTAL		2	5							2	1	0	0	0	1	0	0	0	0