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JB PRITZKERMARIO TRETO, JR.LAURIE MURPHYGovernorSecretaryDirector

# ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR) DIVISION OF REAL ESTATE (DRE)

#### **AUCTION ADVISORY BOARD**

## **OPEN MINUTES**

Date: April 25, 2023 Call to Order: 11:00 a.m. – Jessica Doerr-Berger Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE) This meeting was held remotely via interactive webinar and/or Location: telephonically because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration, and because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. **Board Members Present:** Jessica Doerr-Berger, Michael Fine, Gwendolene Newton, Thomas Walsh Staff Members Present: Adrienne Levatino – Associate General Counsel, Jeremy Reed -Chief of Licensing and Education, Geetu Naik – Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Jennifer Rossiter Moreno – Division of Real Estate Operations Manager, Debra Malinowski – Real Estate Administration and

Manager, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION
Call to Order	Jessica Doerr-Berger called the meeting to order, and she read a mandatory statement regarding the Open Meetings Act.  This meeting is being conducted by audio or video conference without the physical presence of a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration. This meeting is further being conducted by audio or videoconference because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. All votes will be conducted by roll call, so each member's vote on each issue can be identified and recorded.  Attendance Taken:  Jessica Doerr-Berger – present  Michael Fine – present  Gwendolene Newton - present  Thomas Walsh - present	The meeting was called to order at 11:03 a.m.
Review and Approval of Open Minutes:	The Board reviewed the Open Minutes from the February 28, 2023, Auction Advisory Board meeting.  Roll Call Vote Taken: Jessica Doerr-Berger - yes Michael Fine – yes Gwendolene Newton - abstained Thomas Walsh - yes	A motion was made by Fine and seconded by Walsh to approve the Open Minutes as amended from the February 28, 2023, Auction Advisory Board meeting. A majority of a quorum voted in favor of approving the February 28, 2023, Open Meeting Minutes.

<b>Public Comments</b>	There were no members of the public present.	
Licensing and Education Report	The 2022 Licensing Report for activity conducted in March was presented and discussed. A copy of the report is attached to and made part of these minutes.  Jeremy Reed mentioned we are in the last few days of the real estate managing broker renewal.  Mr. Reed mentioned the auction exam pass rate indicated on the licensing report is only for the month of March.	
Investigations Report	The 2022 Auction Investigations Report through the month of March was presented and distributed. A copy of the report is attached to and made a part of these minutes.  Hector Rodriguez informed the Board one of our investigators is retiring.	
Prosecutions Report	The 2022 Auction Prosecutions Report through the month of March was presented and distributed. A copy of the report is attached to and made part of these minutes.	
Formal Hearing Schedule	There were no formal hearings scheduled.	
Old Business	Adrienne Levatino informed the Board that the June meeting of the Auction Board will be conducted in person. Ms. Levatino explained, pursuant the Open Meeting Minutes Act, telephonic participation of the Board members is not sufficient to establish a quorum or conduct business. Ms. Levatino informed the Board that they may attend either the Springfield office at 320 West	

N. D.	Washington or the Chicago office at 555 West Monroe Street.  Susan Sigourney reminded the Board to complete the mandatory courses	
New Business:	Susan Sigourney reminded the Board complete the two mandatory courses and email the completion certificates to Susan Sigourney when the courses are completed.	
Motion to go into Closed Session	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine – yes Gwendolene Newton - yes Thomas Walsh - yes	A motion was made by Fine seconded by Walsh to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:16 a.m. The motion carried by roll call vote.
Closed Session	The Board reviewed the February 28, 2023, Closed Minutes.  The Board deliberated on pending enforcement actions.	
Motion to go into Open Session	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine – yes Gwendolene Newton - yes Thomas Walsh - yes	A motion was made by Walsh and seconded by Fine to go into Open Session at 11:20 a.m. The motion carried by roll call vote.
Approval of February 28, 2023, Closed Minutes	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine – yes Gwendolene Newton - yes Thomas Walsh -yes	A motion was made by Fine and seconded by Walsh to approve the Auction Advisory Board Closed Minutes from February 28, 2023. The motion carried by roll call vote.

Ratify the Board's actions	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine – yes Gwendolene Newton - yes Thomas Walsh -yes	A motion was made by Walsh and seconded by Fine to ratify the Board's actions taken in Closed Session which includes Thomas Walsh authorizing the Department to affix their electronic signature on the Consent Order presented in Closed Session. The motion carried by roll call vote.
Closed Minutes Remain Closed	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine – yes Gwendolene Newton - yes Thomas Walsh -yes	A motion was made by Fine and seconded by Walsh that all minutes of the Closed Sessions of the Appraisal Board remain closed. The motion carried by roll call vote.
Recommendations  The Board signed no Findings of Fact, Conclusions of Law, and Recommendations to the Director	There were no cases for the Board to deliberate during Closed Session.	
Orders	One Consent Order was reviewed and discussed in Closed Session.  The Board received a report of the final action by the Director of the following Consent Order:  2022 – 09650 Jake's Estate Sales/Jake Weaver	The Board signed one Consent Order.
Adjournment	Roll Call Vote taken: Jessica Doerr-Berger – yes Michael Fine – yes	There being no further business to discuss, a motion was made by Walsh

Gwendolene Newton - yes Thomas Walsh -yes	and seconded by Walsh to adjourn at 11:22 a.m. The motion carried by roll call vote.
The next meeting is scheduled for June 27, 2023.	

Prepared by Jeremy N Reed

#### Filtered By

- Board = AUCTIONEER
   START DATE = 01/01/2023
   END DATE = 04/13/2023

#### 4/17/2023 at 3:18:30 PM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	0	0	0	0	37	232
441	Licensed Auctioneer	2	24	23	0	93	616
444	Licensed Auction Firm	2	8	10	0	26	161
445	Licensed Auction CE School	0	0	0	0	0	6
446	Licensed Auction CE Course	0	0	0	0	0	48
	Totals	4	32	33	0	158	1,061

From: 3/1/2023 To: 3/31/2023

	110111. 3/1/2023 10. 3/31/2023					
		Pas	s	Fail	Total	
		N	%	N	%	N
IL Auctioneer Examination	First Time	3	37.50	4	50.00	8
	Repeat	3	60.00	2	40.00	5
	Total	6	46.15	6	46.15	13



# March 2023 Investigations Report

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Column1	Pending/Op en AU Cases	2 months	AU Cases Over 2 months	AU Cases Over 6 months	AU Cases over 9 months	AU Cases over 12 months	AU Cases Over 24 months	New Assigned to Investigations AU Cases Received	AU Cases Referred to Pros	AU Cases Closed
January	39	3	3	3	1	19	10	1	0	0
February	39	4	3	2	2	16	12	4	1	3
March	36	4	2	1	2	14	13	2	3	2
April										
May										
June										
July										
August										
September										
October										
November										
December										
Total								7	4	5
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# **AUCTION PROSECUTIONS REPORT 2023**

### March

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen		cases over 2 months	cases over 6 months					CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo		CLOSED: Consent Order	CLOSED: Non- Disc Order	IL Inc Tax	Child Support	Motion for Rehearing filed
JANUARY	4	0	0	1	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0
FEBRUARY	5	0	1	1	2	0	1	1	0	0	0	0	0	0	0	0	0	0	0
MARCH	7	0	3	4	0	1	1	1	0	1	0	0	0	0	1	0	0	0	0
APRIL										0									
MAY										0									
JUNE										0									
JULY										0									
AUGUST										0									
SEPTEMBER										0									
OCTOBER										0									
NOVEMBER			_		_		_	_	_	0									
DECEMBER									·	0									
TOTAL		0	4							1	0	0	0	0	1	0	0	0	0