

**ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR)  
DIVISION OF REAL ESTATE (DRE)**

**AUCTION ADVISORY BOARD**

**OPEN MINUTES**

Date: April 26, 2022

Call to Order: 11:00 a.m. – Jessica Doerr-Berger – Acting Chairperson

Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE)

Location: This meeting was held remotely via interactive webinar and/or telephonically because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration, and because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster.

Board Members Present: Jessica Doerr-Berger, Kelly T. Frank, Gwendolene Newton, Brian A. Swartz

Staff Members Not Present: Thomas Walsh and Carol Spracklen

Staff Members Present: Adrienne Levatino – Associate General Counsel, Jeremy Reed - Chief of Licensing and Education, Geetu Naik – Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION
<p><b>Call to Order</b></p>	<p>Acting Chairperson Jessica Doerr-Berger called the meeting to order and she read a mandatory statement regarding the Open Meetings Act.</p> <p><i>This meeting is being conducted by audio or video conference without the physical presence of a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration. This meeting is further being conducted by audio or videoconference because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. All votes will be conducted by roll call, so each member's vote on each issue can be identified and recorded.</i></p> <p style="text-align: center;">Attendance Taken:  Jessica Doerr-Berger – present  Kelly T. Frank – present  Gwendolene Newton – present  Brian Swartz – present</p>	<p>The meeting was called to order at 11:00 a.m.</p>
<p><b>Review and Approval of Open Minutes:</b></p>	<p>The Board reviewed the Open Minutes from the February 22, 2022, Auction Advisory Board meeting.</p> <p style="text-align: center;">Roll Call Vote Taken:  Jessica Doerr-Berger – yes</p>	<p>A motion was made by Swartz and seconded by Frank to approve the Open Minutes as presented from the February 22, 2022, Auction Advisory Board meeting. The motion carried by roll call vote.</p>

	<p>Kelly T. Frank – yes  Gwendolene Newton – yes  Brian Swartz – yes</p>	
<b>Public Comments</b>	There were no public comments.	
<b>Licensing and Education Report</b>	<p>The 2022 Licensing Report for activity conducted in March was presented and discussed. A copy of the report is attached to and made part of these minutes. Jeremy Reed discussed the Auction License Report.</p> <p>Jeremy Reed explained the Division of Real Estate has begun the broker renewal.</p>	
<b>Investigations Report</b>	<p>The 2022 Auction Investigations Report through the month of March was presented and distributed. A copy of the report is attached to and made a part of these minutes.</p> <p>Hector Rodriguez mentioned several of the Investigations staff members are still working remotely.</p> <p>Hector Rodriguez reported the sad news that one of our investigators John Roger passed away on April 18.</p>	
<b>Prosecutions Report</b>	The 2022 Auction Prosecutions Report through the month of March was presented and distributed. A copy of the report is attached to and made part of these minutes.	
<b>Formal Hearing Schedule</b>	There were no formal hearings scheduled.	
<b>Old Business</b>	There was no old business to discuss.	
<b>New Business</b>	The Board discussed three Auction Continuing Education Courses which were submitted for its consideration and review. The Board came to a consensus that these	

	<p>courses would not be approved in the form in which they were submitted, and the Board would like to review the videos of these courses if they are available.</p>	
<p><b>Motion to go into Closed Session</b></p>	<p>Roll Call Vote taken:          Jessica Doerr-Berger – yes          Kelly T. Frank – yes          Gwendolene Newton – yes          Brian Swartz – yes</p>	<p>A motion was made by Swartz seconded by Frank to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:56 a.m. The motion carried by roll call vote.</p>
<p><b>Closed Session</b></p>	<p>The Board reviewed the February 22, 2022, Closed Minutes.</p> <p>There were no pending enforcement actions for the Board to review.</p>	
<p><b>Motion to go into Open Session</b></p>	<p>Roll Call Vote taken:          Jessica Doerr-Berger – yes          Kelly T. Frank – yes          Gwendolene Newton – yes          Brian Swartz – yes</p>	<p>A motion was made by Swartz and seconded by Frank to go into Open Session at 11:59 a.m. The motion carried by roll call vote.</p>
<p><b>Approval of February 22, 2022, Closed Minutes</b></p>	<p>Roll Call Vote taken:          Jessica Doerr-Berger – yes          Kelly T. Frank – yes          Gwendolene Newton – yes          Brian Swartz - yes</p>	<p>A motion was made by Swartz and seconded by to approve the Auction Advisory Board Closed Minutes from the February 22, 2022, minutes. The motion carried by roll call vote.</p>
<p><b>Recommendations</b></p> <p><b>The Board signed no Findings of Fact, Conclusions of Law and</b></p>	<p>There were no cases for the Board to deliberate during Closed Session.</p>	

<p><b>Recommendations to the Director</b></p> <p><b>Orders</b></p>	<p>There were no Consent Orders for the Board to discuss in Closed Session.</p>	
<p><b>Adjournment</b></p>	<p>Roll Call Vote taken:          Jessica Doerr-Berger – yes          Kelly T. Frank – yes          Gwendolene Newton – yes          Brian Swartz - yes</p> <p>The next meeting is scheduled for June 28, 2022.</p>	<p>There being no further business to discuss, a motion was made by Swartz and seconded by Kelly to adjourn at 12:01 p.m. The motion carried by roll call vote.</p>

# Licensing Report

YTD - thru April 14, 2022

Prepared by Jeremy N Reed

<b>Filtered By</b>							
<ul style="list-style-type: none"> <li>Board = AUCTIONEER</li> <li>START DATE = 01/01/2022</li> <li>END DATE = 04/15/2022</li> </ul>							
4/21/2022 at 2:27:09 PM							
Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	0	1	1	0	0	286
441	Licensed Auctioneer	1	21	18	0	3	732
444	Licensed Auction Firm	0	7	6	0	1	179
445	Licensed Auction CE School	0	0	0	0	1	5
446	Licensed Auction CE Course	0	4	5	0	10	46
<b>Totals</b>		1	33	30	0	15	1,248

From: 3/1/2022 To: 3/31/2022

		Pass		Fail		Total
		N	%	N	%	N
IL Auctioneer Examination	First Time	1	50.00	1	50.00	2
	Repeat	0	0.00	1	100.00	1
	<b>Total</b>	7	53.85	6	46.15	13



## AUCTION PROSECUTIONS REPORT 2022

### March

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen	3 months or less	cases over 3 months	cases over 6 months	cases over 9 months	cases over 12 months	cases over 24 months	CLOSED	CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo	CLOSED Formal Order	CLOSED: Consent Order	CLOSED: Non-Disc Order	IL Inc Tax	Child Support	Motion for Rehearing filed
JANUARY	1	0	0	0	1	0	0	0	0	2	1	0	0	0	1	0	0	0	0
FEBRUARY	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCH	2	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
APRIL										0									
MAY										0									
JUNE										0									
JULY										0									
AUGUST										0									
SEPTEMBER										0									
OCTOBER										0									
NOVEMBER										0									
DECEMBER										0									
TOTAL		0	1							2	1	0	0	0	1	0	0	0	0