

**Illinois Department of Financial and Professional Regulation
Division of Professional Regulation**

Illinois Public Accountant Registration and Licensure Committee Business Meeting

February 5, 2019

Time: 11:12 a.m. Adjourn 2:18 p.m. (Cavanaugh/Martin)

Location: IDFPR Springfield Office, 320 W Washington St, Conference Room 376

Committee Members Present: Dawn Carlson, CPA, Chairperson
Richard Franklin, CPA, Member
Cheryl Martin, Member
Jennifer Cavanaugh, CPA, Member
Hilda Renteria, CPA, Member
Leo Zappa Jr, Public Member

Committee Members Absent: Phillip Austin, CPA, Member

Staff Present: Chau Nguyen, Legal Counsel (conference call)
Charles Kean, Enforcement Administration Unit
Jim Koehl, Board Liaison
Mary Meehan, Chief, Business Prosecutions

Guests: Todd Shapiro, CPA Society
Jennifer Schultz, CPA Society
Marty Green, CPA Society
Paul Pierson, Peer Review Alliance
Russ Friedewald, Board of Examiners

Topics:	Discussion:	Action:
Minutes	Minutes for September 25, 2018	Schultz/Zappa moved to approve the September 25, 2018 minutes. Motion carried.
New Committee Member	Phillip Austin, Member	Mr Koehl stated that Phillip Austin was appointed as a new Committee member. Due to his schedule he could not attend this meeting.

Old Business

Rules Update

Mr Nguyen provided an overview of the rules process. The rules package is a product of statute updates.

New Business

CE Audit

Mr Kean met with the Committee to provide an overview of the CE audit procedures. He noted that there is no activity now on audits.

Ms Cavanaugh noted that there have been two CPA renewals with no audits. There is a concern about mobility with other states statutes in that regard.

Chair Carlson stated that NASBA will launch CPE Verify in March 2019 to provide tools for CE.

Peer Review

Mr Pierson outlined the Peer Review steps to better understand the details of this process. The Peer Review Alliance works with six additional states. Referrals to DPR are of the Termination process illustrated in handouts provided.

Mr Shapiro noted that Facilitated State Board Access with AICPA can be utilized by DPR. (This reference is in the proposed rules.) There was further discussion on this topic noting for the June 2019 meeting that DPR Business Prosecution staff provide input on how peer review is processed with DPR.

Enforcement Report	Mr Koehl provided a copy of the report to the members to review. He provided an overview of the report.
Election of Vice-Chair	Mr Franklin volunteered to serve as vice-chair.
CPA Society	Mr Green noted that the General Assembly convened today with 40% being new members. He added that the CPA Society sent a transition report on the regulatory issues of the profession.
CPA Coordinator	Chair Carlson discussed this position and how it would assist the with the profession.

Board Chairman Time	Chairperson Carlson noted that she participated in the NASBA Great Lakes Regional conference calls. She will invite Dan Dustin and Alfonso Alexander with NASBA to the June 25 th meeting.
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Board Liaison Time	Addresses	The Committee address listing was verified by the members.
	Travel Vouchers	Travel vouchers were distributed.
	Next meeting dates	The next meeting is scheduled for June 25, 2019 in Chicago.

Closed Session

CE Waiver Review

Cavanaugh/Renteria moved to go into closed session pursuant to Sections 2(c)(4) and (15) of the Open Meetings Act. Motion carried.

Subsequent to the motion a roll call was held and the following members voted unanimously to close the session.

Carlson-yes
Franklin-yes
Martin-yes
Zappa-yes
Cavanaugh-yes
Renteria-yes

Those voting yes constituted a majority of the quorum of the members of the Board. The session closed at 1:30 p.m.

Cavanaugh/Martin moved to come out of closed session at 2:16 p.m. Motion carried.

Closed minutes for September 25, 2018 be approved as written (Martin/Franklin). Motion carried.

Adjournment

Cavanaugh/Martin moved to adjourn the meeting at 2:18 pm. Motion carried.

Exceptions and Comments

Deliberations-Let it be noted for the record that the Director's decision regarding disciplinary matters is withheld from the minutes and rendered at the time the Board presents their Findings of Fact, Conclusions of Law and Recommendations.
