

**Illinois Department of Financial and Professional Regulation  
Division of Professional Regulation**

**Illinois Public Accountant Registration and Licensure Committee Business Meeting**

November 15, 2017

Time: 10:24 a.m. Adjourn 12:36 p.m. (Waggoner/Gerber)

Location: IDFPF Springfield Office, 320 W Washington St, Conference Room 254B

Committee Members Present: Dawn Carlson, CPA, Chairperson  
Neil Gerber, CPA, Vice-Chairperson  
Joan Waggoner, CPA, Member  
Richard Franklin, CPA, Member

Committee Members Absent: Jennifer Cavanaugh, CPA, Member  
Hilda Renteria, CPA, Member

Staff Present: Katy Straub, Legal Counsel (conference call)  
Jim Koehl, Board Liaison

Guests: Marty Green, CPA Society

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Topics:	Discussion:	Action:
Minutes	Minutes for August 1, 2017	Waggoner/Gerber moved to approve the August 1, 2017 minutes. Motion carried.
Old Business	On-Line application	Mr Koehl provided an overview of the on-line CPA application to date.
	Continuing Education	Chairperson Carlson noted she is aware of the vacancy of the CE Audit staff where there has not been an audit since the last renewal.  She noted that NASBA is continuing to work on the CPE Tracker tool.  It was referenced that there is now a provision for a CPA Coordinator for the profession.

New Business

Enforcement Report

Mr Koehl provided a copy of the report to the members to review.

Mr Gerber noted that additional Committee involvement has helped to manage the cases. He thanked Martha Reggi and Lou Mago for their help.

NASBA Annual Meeting

Director Baer and Chairperson Carlson attended the NASBA Annual meeting held in New York, NY.

Chairperson Carlson provided an overview of this meeting noting numerous topics to include Center for the Public Trust, Uniform Accountancy Act, Data Analysis, and Block Chain. She was also asked to be on the Strategic Planning Task Force.

The NASBA meeting schedule is as follows:

ED/Legal 3/13-16/18  
E Regional 6/5-7/18  
W Regional 6/27-29/18  
Annual 10/28-31/18

Illinois CPA Society

Marty Green provided a report of the Society activities that impact the Division. He thanked the Division for the work done to transition the firms with professional service corporation licenses to firm licenses during the renewal for the professional service corporations.

Closed Session

Deliberation

Gerber/Franklin moved to go into closed session pursuant to Sections 2(c)(4) and (15) of the Open Meetings Act. Motion carried.

Subsequent to the motion a roll call was held and the following members voted unanimously to close the session.

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Waggoner-yes  
Carlson-yes  
Franklin-yes  
Gerber-yes

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Those voting yes constituted a majority of the quorum of the members of the Board. The session closed at 12:00 p.m.

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Waggoner/Gerber moved to come out of closed session at 12:33 p.m. Motion carried.

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Open Session

Deliberation in Closed Session

James Ferris  
2016-007950

Gerber/Waggoner moved that 065-016388 remain in a Refuse to Renew status with a fine of \$2500.

Motion carried.

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Closed minutes for August 1, 2017 be approved as written (Cavanaugh/Franklin). Motion carried.

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Board Liaison Time	Addresses	The Committee address listing was verified by the members.
	Travel Vouchers	Travel vouchers were distributed to the members.
	Sign Findings	The Committee signed the Findings.
	Next meeting date	The next meeting is Scheduled for February 13, 2018 in Chicago.

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Adjournment	Waggoner/Gerber moved to adjourn the meeting at 12:36 pm. Motion carried.
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Exceptions and Comments

Deliberations-Let it be noted for the record that the Director's decision regarding disciplinary matters is withheld from the minutes and rendered at the time the Board presents their Findings of Fact, Conclusions of Law and Recommendations.

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