Illinois Department of Financial and Professional Regulation Division of Professional Regulation

Illinois Public Accountant Registration and Licensure Committee Business Meeting

November 15, 2017

Minutes

Time: 10:24 a.m. Adjourn 12:36 p.m. (Waggoner/Gerber)

Location: IDFPR Springfield Office, 320 W Washington St, Conference Room 254B

Committee Members Present: Dawn Carlson, CPA, Chairperson

Neil Gerber, CPA, Vice-Chairperson Joan Waggoner, CPA, Member Richard Franklin, CPA, Member

Committee Members Absent: Jennifer Cavanaugh, CPA, Member

Hilda Renteria, CPA, Member

Staff Present: Katy Straub, Legal Counsel (conference call)

Jim Koehl, Board Liaison

Guests: Marty Green, CPA Society

Topics: Discussion: Action:

Minutes for August 1, 2017

moved to approve the August 1, 2017

Waggoner/Gerber

minutes. Motion carried.

Old Business On-Line application Mr Koehl provided an

overview of the on-line CPA application to date.

Continuing Education Chairperson Carlson noted

she is aware of the vacancy of the CE Audit staff where there has not been an audit since the last

renewal.

She noted that NASBA is continuing to work on the

CPE Tracker tool.

It was referenced that there is now a provision for a CPA Coordinator for the profession.

New Business

Enforcement Report

Mr Koehl provided a copy of the report to the members to review.

Mr Gerber noted that additional Committee involvement has helped to manage the cases. He thanked Martha Reggi and Lou Mago for their help.

NASBA Annual Meeting

Director Baer and Chairperson Carlson attended the NASBA Annual meeting held in New York, NY.

Chairperson Carlson provided an overview of this meeting noting numerous topics to include Center for the Public Trust, Uniform Accountancy Act, Data Analysis, and Block Chain. She was also asked to be on the Strategic Planning Task Force.

The NASBA meeting schedule is as follows:

ED/Legal 3/13-16/18 E Regional 6/5-7/18 W Regional 6/27-29/18 Annual 10/28-31/18

Illinois CPA Society

Marty Green provided a report of the Society activities that impact the Division. He thanked the Division for the work done to transition the firms with professional service corporation licenses to firm licenses during the renewal for the professional service corporations.

Closed Session	Deliberation	Gerber/Franklin moved to go into closed session pursuant to Sections 2(c)(4) and (15) of the Open Meetings Act. Motion carried.
		Subsequent to the motion a roll call was held and the following members voted unanimously to close the session.
		Waggoner-yes Carlson-yes Franklin-yes Gerber-yes
		Those voting yes constituted a majority of the quorum of the members of the Board. The session closed at 12:00 p.m.
		Waggoner/Gerber moved to come out of closed session at 12:33 p.m. Motion carried.
Open Session	Deliberation in Closed Session	
	James Ferris 2016-007950	Gerber/Waggoner moved that 065-016388 remain in a Refuse to Renew status with a fine of \$2500.
		Motion carried.

listing was verified by the

members.

Travel Vouchers Travel vouchers were

distributed to the

members.

Sign Findings The Committee signed the

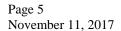
Findings.

Next meeting date The next meeting is

The next meeting is Scheduled for February 13, 2018 in Chicago.

Adjournment Waggoner/Gerber

Waggoner/Gerber moved to adjourn the meeting at 12:36 pm. Motion carried.



Exceptions and Comments

Deliberations-Let it be noted for the record that the Director's decision regarding disciplinary matters is withheld from the minutes and rendered at the time the Board presents their Findings of Fact, Conclusions of Law and Recommendations.